# Liquid Capital Statement

for the month of 30-JUN-18

### of M/s. Mohammed Hussain Ismail Securities (Pvt.

Submission Date 05-JUL-2018 11:15:28

Page 1 Of 3

Assets   Property & Equipment   73,257   73,257
1
1.7   Property & Equipment   3.257   7.257
Property & Equipment   73,287   73,28
1.3   Investment in Dobt. Securities
1.4   Investment in Debt 5, Securities
If fisted than:  1.5% of the balance sheet value in the case of tenure upto 1 year.  1.5% of the balance sheet value in the case of tenure from 1-3 years.  1.6% of the balance sheet value in the case of tenure from 1-3 years.  1.1% of the balance sheet value in the case of tenure from 1-3 years.  1.1% of the balance sheet value in the case of tenure upto 1 year.  1.1.1% of the balance sheet value in the case of tenure upto 1 year.  1.1.1% of the balance sheet value in the case of tenure upto 1 year.  1.1.1% of the balance sheet value in the case of tenure from 1-3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance sheet value in the case of tenure of more than 3 years.  1.1.1% of the balance of the case of tenure of tenure of tenure of tenure of tenure of the case of tenure
1.5% of the balance sheet value, in the case of tenure upto 1 year.
ii. 175% of the balance sheet value, in the case of tenure from 1-3 years.  iii. 10% of the balance sheet value in the case of tenure upto 1 year.  iii. 125% of the balance sheet value, in the case of tenure upto 1 year.  iii. 125% of the balance sheet value, in the case of tenure upto 1 year.  iii. 125% of the balance sheet value, in the case of tenure from 1-3 years.  iii. 125% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  iii. 15% of the balance sheet value, in the case of tenure of the case of tenure of securities balance of intention of the securities of the value of the securities of insertment in those securities which are pliedged in favor of Stock Exchange (Clearing House a gapits Margin Financing equities of the securities securities and schedule iii of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  iii. 15% of the balance sheet value of the securities of the securities
III.19% of the balance sheet value, in the case of fenure of more than 3 years.
If unlisted than:   1.0% of the balance sheet value in the case of tenure upto 1 year.   1.12 % of the balance sheet value, in the case of tenure from 1-3 years.   1.12 % of the balance sheet value, in the case of tenure of more than 3 years.   1.15 % of the balance sheet value, in the case of tenure of more than 3 years.   1.15 % of the balance sheet value, in the case of tenure of more than 3 years.   1.15 % of the balance sheet value, in the case of tenure of more than 3 years.   1.15 % of the balance sheet value, in the case of tenure of more than 3 years.   1.15 % of the balance sheet value in the case of tenure of more than 3 years.   1.15 % of the balance sheet value in the case of tenure of more than 3 years.   1.15 % of the balance sheet value in the case of tenure of the case of tenure of the case o
1.10% of the balance sheet value in the case of tenue upto 1 year.
1.5   Investment in Equity Securities
Investment in Equity Securities   Securities   Securities on the cutoff date as computed by the Securities Exchange for   61,192,350   9,178,853   52,01
I.If listed 15% of VaR of each securities on the cutoff date as computed by the Securities Exchange for lossective securities whichever is higher.  I. If unlisted 100% of caming value.  II. Subsciption money against Investment in IPOloffer for Sale: Amount paid as subscription money growided that shares have not been alloted or are not included in the investments of securities broker.  II. If unlisted 100% of caming value.  II. Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money growided that shares have not been alloted or are not included in the investments of securities tooker.  IV. 100% Haircut shall not be applied in to value of investment in any asset including shares of listed securities that are in Block. Freeze or Pledge status as on reporting date. (July 19, 2017)  Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange /Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Item financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  Investment in subscillaries  I. Investment in associated companies/undertaking  I. If listed 20% or 48 of each securities as computed by the Securites Exchange for respective securities whichever is higher.  I. If unlisted, 100% of net value.  I. Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.  I. Margin deposits with exchange and clearing house.  I. Object deposits with exchange and clearing house.  I. Object deposits with exchange and clearing house.  I. Object deposits with exchange and clearing house or securities under SLB.  I. Object deposits and prepayments.  I. Depositive in all markets including Minimal and institutions or debt securities etc. (NIII)  I. Object deposits with exchange and clearing happing the
respective securities whichever is higher. ii. If unisted, 100% of carrying yalue. iii. Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Halicrul shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledged status as on reporting date. (Luly 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Starks against Short Term financing arrangements. In such cases, the haircut as provided in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in securities shall be applicable (August 25, 2017)  1.6 Investment in subsidiaries  1.7 Investment in subsidiaries  1.8 Islatutory or regulatory exposits basic deposits with the exchanges or central depository or any other entity.  1.8 Islatutory or regulatory exposits basic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Magrin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against boriowed securities under SLB.  1.11 Other deposits and prepayments.  1.12 Account intermediary against boriowed securities under step arrangement shall not be included in the investments.)  1.13 Ovidends receivables.  1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).  1.15 In office of markup accrued on loans to directors, subsidiaries and other related parties.  1.16 Receivables of more than trade receivables.  1.17 In ovidends the exceptible of markup accrued on loans to directors, subsidiaries and other related parties.  1.18 Receivables of more than trade receivables.  1.19 In seceivable on entitlements against trading of securities in all markets including MM gains.  1.19 In seceiv
II. If unlisted, 100% of carrying value.
provided that shares have not been alloted or are not included in the investments of securities broker.  In 100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Item financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  Investment in associated companies/undertaking I. If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.  II. If unlisted, 100% of net value.  18. Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.  19. Margin deposits with exchange and clearing house.  1.10. Deposit with authorized intermediary against borrowed securities under SLB.  1.11. Other deposits and prepayments  1.12. Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Kill)  1.00% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13. Dividends receivables.  1.14. Amounts receivable against Repo financing. Amounts receivable appliants Repo financing. Amounts receivable superhase marker the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments)  1.15. Is. Short Term Loan to Employees: Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables  1.16. Receivables other than trade receivables  1.17. Receivables on entitlements against trading of securities in all markets including MM gains.  I. In case receivables are against margin
In the content of t
that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Irem financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in associated companies/undertaking I. If insted 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher. II. If unlisted, 100% of net value.  1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (NIII)  1.10 Windends receivables against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments)  1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables from clearing house or securities exchange(s)  1.17 Receivables from clearing house or securities exchange(s)  1.18 I. Now value of claims other than those on account of entitlements against trading of securities held in the blocked account after applying VAR based Haircut, (II) cash deposited as collateral by the financee (III) market value or any securities determined through adjustments.
Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Ierm financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in subsidiaries Investment in subsidiaties Investment in subsidiaties Investme
in favor of Banks against Shott Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in subsidiaries  1.7 Investment in associated companies/undertaking  i. If Islead 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.  ii. If unlisted 100% of net value.  1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII)  1.13 Dividends receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).  1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MIM gains.  ii. Receivables from clearing house or securities in all markets including MIM gains.  ii. Receivables from customers  1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of het blances here than those on decurities and through
schedule II of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in subsidiaries  1.7 Investment in associated companies/undertaking  i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.  ii. If unlisted, 100% of net value.  1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)  1.00% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivables against Repo financing.  4 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables ofform clearing house or securities exchange(s)  ii. Receivables from clearing house or securities exchange(s)  ii. Receivables from clearing house or securities in all markets including MtM gains.  1.17 Receivables from customers  ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value or any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.
1.6 Investment in subsidiaries 1.7 Investment in associated companies/undertaking 1.6 Investment in associated companies/undertaking 1.7 It flisted 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher. 1.8 It furnisted, 10% of net value. 1.9 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity. 1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII) 1.0 Interespect of markup accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables 1.14 Amounts receivable against Repo financing. 1.15 Amounts receivable against Repo financing. 1.16 Amounts receivable and the investments.) 1.17 Interespect of markup accrued on loans to directors, subsidiaries and other repo arrangement shall not be included in the investments.) 1.18 Interespect of markup accrued on loans to directors, subsidiaries and other repo arrangement shall not be included in the investments.) 1.19 Interespect of markup accrued and Due for repayment within 12 months 60,000 0 6 1.10 Receivables other than trade receivables 1.10 Receivables other than trade receivables 1.10 Receivables from clearing house or securities exchange(s) 1.10 Receivables from customers 1.11 Receivables from customers 1.12 Receivables from customers 1.13 Lower of net blances here than those on account of entitlements against trading of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value or alance specified as collateral after applying VAR based haircut. 1. Lower of net blance sheet value or value determined through adjustments.
Investment in associated companies/undertaking   In If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whicheve is higher.   In If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.   In If listed 100% of net value.   In If unlisted 100% of net value 1.0   In If unlisted 100% of net value.   In If unlisted 100% of net value.   In If unlisted 100% of net value.   In If unlisted 100% of net value 1.0   In If unlisted 1.0   In If unli
i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher. ii. If unlisted, 100% of net value.  1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity,  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nii)  1.00% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivables against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).  1.15 Is. Short Ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months  60,000 0 6  ii. Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivables from customers  i. In case receivables are against margin financing, the aggregate If (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance shed value or value determined through adjustments.
ii. If unlisted, 100% of net value.  1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NiI)  1.0% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  60,000 0 6  1.16 Receivables often than trade receivables  1.17 Receivables from clearing house or securities exchange(s)  1.18 Receivables on entitlements against trading of securities in all markets including MtM gains.  1.19 Receivables from customers  1.10 In case receivables against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  1. Lower of the balance sheet value or value determined through adjustments.
1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)  1.0% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing, Amount paid as purchaser under the RPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables of claims other than trade receivables  1.17 Receivables from clearing house or securities exchange(s)  1.18 Receivables from clearing house or securities in all markets including MtM gains.  1.19 Receivables from customers  1.10 In case receivables are against trading of securities in all markets including MtM gains.  1.19 Receivables from customers  1.10 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  1.10 Lower of net balance sheet value or value determined through adjustments.
or any other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)  1.0% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  60,000 0 6  ii. Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  iii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, i. Lower of net balance sheet value or value determined through adjustments.
1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)  1.00% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables other than trade receivables  1.17 Receivables from clearing house or securities exchange(s)  1.18 ii. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  1.19 Receivables from customers  1.10 Receivables from customers  1.11 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  1. Lower of net balance sheet value or value determined through adjustments.
1.11 Other deposits and prepayments  1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables other than trade receivables  1.17 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
1.12 Accrued interest, profit or mark- up on amounts placed with financial institutions or debt securities etc.(NiI) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables. 350,000 0 35 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 60,000 0 6 ii. Receivables other than trade receivables 1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
etc.(Nii) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Dividends receivables.  1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables other than trade receivables  1.10 Receivables from clearing house or securities exchange(s)  1.10 Receivable or entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers  1.18 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  1. Lower of net balance sheet value or value determined through adjustments.
1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  60,000 0 6  ii. Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from Customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  60,000  0 6  ii. Receivables other than trade receivables  1.16  Receivables from Clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17  Receivables from Customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.
shall not be included in the investments.)  1.15  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  60,000  0  6  ii. Receivables other than trade receivables  1.16  Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.
ii. Receivables other than trade receivables  1.16  Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.
markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.
ii Incase receivables are against margin trading 5% of the net balance sheet value
ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as
collateral upon entering into contract,
iii. Net amount after deducting haricut
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market
value of securities purchased for customers and held in sub-accounts after applying VAR based
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of
securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.
1.18   Cash and Bank halances
edsh and bank balaness
Cash and Bank Bank Bank Bank Bank Bank Bank Bank

# Liquid Capital Statement

for the month of 30-JUN-18

### of M/s. Mohammed Hussain Ismail Securities (Pvt.

Submission Date 05-JUL-2018 11:15:28

Page 2 Of 3

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.10				400.054.40d
1.19	Total Assets	141,421,182	37,469,692	103,951,490
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable against levelaged market products iii. Payable to customers			
2.2	Current Liabilities			
	i. Statutory and regulatory dues			
	ii. Accruals and other payables			
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements	18,657	0	18,657
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	· · ·			
2.5	Total Liabilites	18,657	0	18,657
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
2.4	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary  The amount by which the total assets of the subsidiary ( evaluding any amount due from the subsidiary)			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			<del> </del>
3.3	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

# Liquid Capital Statement

for the month of 30-JUN-18

## of M/s. Mohammed Hussain Ismail Securities (Pvt.

Submission Date 05-JUL-2018 11:15:28

Page 3 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions			
	if the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	2,581,362	2,581,362
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	2,581,362	1 1
3.12	Liquid Capital	141,402,525	34,888,330	101,351,471